

FORM PTC-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
ADMS-0003TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)  
10/031,860INTERNATIONAL APPLICATION NO.  
PCT/IB00/00530INTERNATIONAL FILING DATE  
26 April 2000 (26.04.2000)PRIORITY DATE CLAIMED  
29 April 1999 (29.04.1999)TITLE OF INVENTION **DEVICE FOR DEPOSITING A NON-FLOWABLE OBJECT OR A NON-FLOWABLE MEDICAMENT IN A BODY CAVITY**APPLICANT(S) FOR DO/EO/US **Peter James Brian LAMB**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - A copy of the Notification of Missing Requirements dated April 3, 2002.
  - Associate Power of Attorney.
  - Loss of Entitlement.
  - 1 return postcard.

EXPRESS MAIL Mailing Label No. EL 909207497 US  
Date of Deposit: 16 April 2002

EL 909207497US

U.S. APPLICATION NO. (if known 37 C.F.R. 1.5)  
**10/031,860**

INTERNATIONAL APPLICATION NO.  
**PCT/IB00/00530**

ATTORNEY DOCKET NUMBER  
**ADMS-0003**

17.    The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....**\$1,040.00**

International preliminary examination fee (37 CFR 1.482 not paid to USPTO  
but International Search Report has been prepared by the EPO or JPO.....**\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....**\$740.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) but  
all claims did not satisfy provisions of PCT Article 33(1)-(4).....**\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) and  
all claims satisfied provisions of PCT Article 33(1)-(4).....**\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS      PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than   20     X   30 months from  
the earliest claimed priority date (37 CFR 1.492(e)).

**\$130.00**

Claims	Number Filed	Number Extra	Rate		
Total claims	- 20 =		X \$18.00	\$	
Independent Claims	- 3 =		x \$84.00	\$	
Multiple dependent claims(s) (if applicable)			+ \$280.00	\$	

**TOTAL OF ABOVE CALCULATIONS =**

**\$130.00**

   Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are  
reduced by 1/2.

\$

**SUBTOTAL =**

**\$130.00**

Processing fee of **\$130.00** for furnishing the English translation later than   20      30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

**\$130.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

+

\$

**TOTAL FEES ENCLOSED =**

**\$130.00**

04/19/2002 UEDUVIJE 00000139 10031860

01 FC:154

130.00 OP

Amount to be:  
refunded

\$

charged

\$

a.   X   A check in the amount of \$ **130.00** to cover the above fee is enclosed.

b.    Please charge my Deposit Account No. 23-3050 in the amount of \$            to cover the above fees. A duplicate copy of this sheet is enclosed.

c.   X   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account  
No. 23-3050. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must  
be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**David A. Cherry**  
Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
(215) 568-3100

SIGNATURE

**David A. Cherry**  
NAME

**35,099**

REGISTRATION NUMBER



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/031,860	FIRST NAMED APPLICANT Peter James Brian Lamb	ATTY. DOCKET NO. ADMS-0003
INTERNATIONAL APPLICATION NO. PCT/IB00/00530		
I.A. FILING DATE 04/26/2000	PRIORITY DATE 04/29/1999	

David A Cherry  
 Woodcock Washburn  
 One Liberty Place  
 46th Floor  
 Philadelphia, PA 19103

RECEIVED

APR 09 2002

Woodcock Washburn Kurtz  
 Mackiewicz & Norris LLP

CONFIRMATION NO. 8533

371 FORMALITIES LETTER

\*OC000000007757693\*

Date Mailed: 04/03/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement

RECEIVED

APR 09 2002

DOCKETED

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

BEST AVAILABLE COPY

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,860	PCT/IB00/00530	ADMS-0003

FORM PCT/DO/EO/905 (371 Formalities Notice)

**BEST AVAILABLE COPY**